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Application Number	09/965,100	
Filing Date	09/26/2001	DEG
First Named Inventor	Mason K. Yu,	
Group Art Unit		

Examiner Name

Attorney Docket Number

JAN 0 9 2002

Technology Center 2100

U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant U.S. Palent Document Date of Publication of Cite Name of Patentee or Applicant Kind Code **Cited Document** of Cited Document nitials' No.1 Number Passages or Relevant MM-DD-YYYY (# known Figures Appear 433,483 Yu /26/1995 <u>7:34-52:</u> 8:42-49 09/24/1996 5,559,313 5,630,073 1:37-48 Claus et al Nolan 05/13/1997 10:22-39 5,740,271 <u>Kunkler et al</u> 04/14/1998 34 - 22 - 33 5,748,908 Yu 05/05/1998 15:13-5 5,832,470 Morita et al 11/03/1998 3:11-37 Kunkler 5.917.931 06/29/1999 5:8-51 5,920,848 Schutzer et 4:1-67: 5:1-17 5,930,764 <u>Melchione et al</u> 07/27/1999 10:66-67: 12:7-38 12/28/1999 18-22 6.009.415 Schurling et al 6,014,454 <u>Kunkler</u> 01/11/2000 5:8-51 6.039.244 Finserwald 03/21/2000 1:33 to-3:62 6,119,933 Wone et al 09/19/2000 1:56 to 2:2 6.185.576 McIntosh 02/06/2001 2:6 to 3:39

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Substitute for form 1449B/PTO	Complete if Known			
	Application Number	09/965,100		
INFORMATION DISCLOSURE	Filing Date	09/26/2001		
STATEMENT BY APPLICANT	First Named Inventor	MASON K. Y		

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09/26/2001 MASON K. YUR Group Art Unit Examiner Name **Attorney Docket Number**

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TAB	Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	INF	ORMATIO	NC	ISCLOSURE	Filing Date	09/26/2001
	STA	TÈMENT	RY	APPLICANT	First Named Inventor	Mason K. Yu. SR.
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DEC 2 4 2001 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS INDEX Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Board of Governors of the Federal Reserve System, "Financial Services Used by Small Businesses: Evidence from the 1998 Survey of Small Business Finances," Federal Reserve Bulletin, Vol. 87, No. 4, April 2001, pp. 183-205, The Federal Reserve Board, Washington, D.C. RECEIMED JAN 0 9 2012 Technology Center 2100

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